Course Syllabus

Semester: IV

4.5 - SEC

Course Title	**Fundamentals of Investment in Stock Markets**
Course Credits	**2**
Course Outcomes	After going through the course, learners will be able to:
	• Understand the basic structure and participants of the stock market.
	• Apply fundamental and technical analysis to evaluate stocks.
	• Build and manage a diversified investment portfolio.
	• Analyze mutual funds and choose suitable investment strategies.
Module 1 (Credit 1) Unit 1: Introduction to Securities Market	
Learning Outcomes	After learning the module, learners will be able to:
	• Understand primary and secondary stock markets.
	• Know the requirements to start investing (bank, demat, trading accounts).
	• Learn the process of opening accounts and required documents (KYC, CKYC, etc.).
	• Familiarize with the basics of intra-day trading.
Content Outline	1.1 Stock Market - Primary market and Secondary market. 1.2 Pre-requisite to invest in stock Market- Bank Account, Demat Account and Trading account, Demonstration of Opening demat and trading account, Basic Service Demat Account (BSDA), KYC documents, CKYC, Nomination 1.3 Modes of investment - Mutual fund & Direct equity 1.4 Trading- Intra-day trading - Online trading
Module 2 (Credit 1) Unit 2: Fundamental and Technical Analysis	
Learning Outcomes	After learning the module, learners will be able to:
	• Analyze securities using both **fundamental** and **technical analysis**, including economic, industry, and company-level evaluation.
	• Apply **basic tools of technical analysis** to interpret market trends and make informed investment decisions.
	• Understand and explain the importance of **portfolio diversification** in managing investment risk.
	• Evaluate different **types of mutual funds**, understand **NAV**, and compare various investment methods such as **Lump Sum, SIP,** and **NFO.**
Content Outline	2.1 Security Analysis, Fundamental Analysis – Economic analysis, Industry analysis and Company analysis

	2.2 Technical analysis, Basic tools of technical analysis, Portfolio diversification 2.3 Types of mutual fund based on structure, objectives and investment style, NAV, Ways of investing in mutual fund - Lump sum and SIP, NFO

Internal - NIL

External – 50 Marks

References

- Preeti Singh, 2017, *Fundamentals of Investment Management*, Himalaya Publishing House, Mumbai.
- Vasant A. Avadhani, 2017, *Fundamentals of Investment*, Himalaya Publishing House, Mumbai.
- Bharati V. Pathak, 2023, *Indian Financial System*, Pearson Education India, New Delhi.
- Jia Makhija, 2022, *Financial Markets*, Vipul Prakashan, Mumbai.